PRIVILEGED AND CONFIDENTIAL

1 An Act to create a Youth Smoking Prevention Fund to receive moneys paid to the 2 state under the Master Settlement Agreement with the tobacco manufacturers and to provide for grants for effective youth smoking prevention programs. 3 4 5 Sec. 1. Definitions. 6 (a) "Fund" means the Youth Smoking Prevention Fund established pursuant to 7 this Act. (b) "Master Settlement Agreement" means the tobacco settlement agreement 8 entered into by [state] and 45 other states and participating tobacco manufacturers dated 9 November 23, 1998, under which [state] is to receive settlement funds from the tobacco 10 11 manufacturers. (c) "State Plan" means the State Plan for Youth Smoking Prevention adopted 12 pursuant to this Act. 13 (d) "Grantee" means any public entity or private nonprofit entity approved by the 14 [Department of Health/Education] to receive moneys from the Fund for qualified youth 15 16 smoking prevention programs. (f) "Oualified Youth Smoking Prevention Program" means a program that meets 17 18 the criteria set forth in this Act for an effective youth smoking prevention program and 19 the terms of the State Plan for Youth Smoking Prevention. 20 Sec. 2. Establishment of Youth Smoking Prevention Fund. 21 (a) There is established in the [Department of the Treasury] a Youth Smoking Prevention Fund. The fund shall consist of [25 percent] of all moneys received by the 22

23 state under the Master Settlement Agreement [and/or other settlement agreement], and all

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other moneys, including gifts. grants or other funds, designated for the Fund. All interest
 derived from the deposit and investment of moneys in the Fund shall be credited to the
 Fund.

4 (b) The Fund shall be administered by the [Department of Health/Education]
5 pursuant to this Act.

6 (c) All moneys in the Fund shall be subject to annual appropriations by the [state
7 legislature] for [such purposes as are authorized herein] and shall be available for the
8 award of grants for effective youth smoking prevention programs and related
9 administrative costs of the [Department of Health/Education].

10 Sec. 3. State Plan for Youth Smoking Prevention.

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11 (a) On or before [date], the [Department of Health/Education] shall propose a 12 State Plan for Youth Smoking Prevention. On or before [date] of each subsequent year. 13 the [Department of Health/Education] may propose amendments to the plan. The 14 [Department of Health/Education] shall submit its proposed State Plan or any proposed 15 amendments thereto to the Governor, [the assembly/Senate], [and the Attorney General]. 16 The Governor, [the assembly/Senate], [and the Attorney General] may submit comments 17 to the [Department of Health/Education] on or before [date] and may submit comments to 18 the [Department of Health/Education] on its proposed amendments to the State Plan on or 19 before [date] of each subsequent year. On or before [date], the [Department of 20 Health/Education] shall adopt a final State Plan and shall make such plan public. On or 21 before [date] of each subsequent year, the [Department of Health/Education] shall adopt 22 any amendments to the State Plan and shall make such amended State Plan public.

| 1 | (b) The State Plan shall govern the criteria by which grant proposals are |
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| 2 | considered by the [Department of Health/Education]. Such plan shall describe the types |
| 3 | of youth smoking prevention programs that shall be eligible for consideration for grants |
| 4 | from the Fund. Such eligible programs shall include, but not be limited to- |
| 5 | (1) media campaigns directed to youth; |
| 6 | (2) school-based education programs; |
| 7 | (3) community-based youth programs involving cigarette use prevention |
| 8 | through general youth development; and |
| 9 | (4) enforcement and administration of sections [provisions of state law |
| 10 | related to the prevention of cigarette sales to minors] and related retail compliance |
| 11 | efforts. |
| 12 | (c) The State Plan shall provide that no less than percent of the dollar value of |
| 13 | the grants awarded in each year shall be dedicated to programs described in subsections |
| 14 | (b)(2) and $(b)(3)$. |
| 15 | (d) The State Plan further shall provide for a grant for an annual statewide school |
| 16 | based survey to measure cigarette use and behaviors towards cigarette use by individuals |
| 17 | in grades 9-12. Such survey shall— |
| 18 | (1) not require parental consent; |
| 19 | (2) involve a statistically valid sample of the individuals in each grade 9- |
| 20 | 12; |
| 21 | (3) not include any individual who is [18] years of age or older; |
| 22 | (4) include results that are made available to the public prior to [date] of |
| 23 | each year. |
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(e) The State Plan shall provide than no more than ____ percent of the fund shall be
 expended on the administrative costs of the [Department of Health/Education].

3 (f) The development and adoption of the State Plan shall not be subject to the
4 notice and comment provisions of the [State Administrative Procedures Act].

5 Sec. 5. Criteria for the Award of Grants.

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(a) On or before [date] of each year, beginning in [year], the [Department of
Health/Education] shall award grants to qualified grantees for the next fiscal year. To the
extent practicable and consistent with the criteria for qualified grantees set forth below,
the [Department of Health/Education] shall make recommendations for the expenditure
of the total amount of funds appropriated in each fiscal year for qualified youth smoking
prevention programs.

(b) An applicant for a grant for a qualified youth smoking prevention program
must file an application with the [Department] no later than [date] of the year preceding
the fiscal year for which the grant is requested.

15 (c) An applicant that requests funding to initiate, continue or expand a youth 16 smoking prevention program shall demonstrate, by means of application, letters of 17 recommendation, and [] that the proposed youth smoking prevention program for which 18 it seeks funds meets the criteria set forth in the State Plan. Previous grant recipients shall 19 include recent evaluations of their programs with their applications. The [Department] 20 may not recommend the award of a grant unless it makes a specific finding, as to each 21 applicant, that the program proposed to be funded meets the criteria set forth in the State 22 Plan.

| 1 | (d) Consideration shall be given to whether a grant application for a media |
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| 2 | campaign meets the criteria established by the United States Centers for Disease Control |
| 3 | for an effective media campaign to prevent underage consumption of cigarettes, and |
| 4 | provides for sound management and periodic evaluation of program progress and |
| 5 | effectiveness. |
| 6 | (e) Consideration shall be given to whether a grant application for a school-based |
| 7 | education program- |
| 8 | (1) will be operated by instructors certified by [state teaching license or |
| 9 | social worker alternative] who have completed [] hours of training in youth |
| 10 | smoking prevention [and/or] other relevant training in youth social or |
| 11 | psychological counseling; |
| 12 | (2) includes life skills training; |
| 13 | (3) includes the development of decision-making and social skills; |
| 14 | (4) involves sustained efforts related to the same youth; |
| 15 | (5) is interactive with the target youth; and |
| 16 | (6) provides for sound management and periodic evaluation of program |
| 17 | progress and effectiveness. |
| 18 | (f) Consideration shall be given to whether a grant application for a community |
| 19 | based program |
| 20 | (1) involves activities that will be conducted in locations that are safe and |
| 21 | accessible to the targeted youth, and involve a comprehensive mix of services and |
| 22 | structured activities (such as sports, art, computer and other training); |
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| 1 | (2) involves the participation in the program of adults, as models or |
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| 2 | mentors; |
| 3 | (3) involves parents, guardians or family members of the targeted youth; |
| 4 | (4) focuses on communication styles found to be effective in preventing or |
| 5 | reducing youth smoking, such as peer to peer communication, depicting no |
| б | smoking as the youth norm, conveying negative images of youth smoking, |
| 7 | celebrating youths who choose not to smoke, and giving credit to youths for being |
| 8 | able to make good decisions; |
| 9 | (5) is operated by trained staff; and |
| 10 | (6) provides for sound management and periodic evaluation of program |
| 11 | progress and effectiveness; |
| 12 | (g) State and local government [agencies and departments] shall be eligible for |
| 13 | grants provided pursuant to this Act. |
| 14 | Sec. 6. Award of Grants On or before [date] each year, the [Department of |
| 15 | Health/Education] shall announce the award of grants for the next fiscal year. Any funds |
| 16 | appropriated for qualified youth smoking prevention programs not expended in any fiscal |
| 17 | year shall be retained in the Fund and available for qualified youth smoking prevention |
| 18 | programs in any following year. |
| 19 | Sec. 7. Reports. |
| 20 | (a) As a condition to the receipt of funds under this Act, a grantee shall agree to |
| 21 | file a report with the [Department of Health/Education], on or before the [date] day after |
| 22 | the end of the fiscal year for which the grant was awarded, as to the following: |

1 (1) amount received as a grant and the expenditures made with the 2 proceeds of the grant; 3 (2) a description of the program offered and the number of youths who 4 participated in the program; and 5 (3) specific elements of the program meeting the criteria set forth under 6 this Act. 7 (b) Any grantee failing to timely file the report required under this section shall be 8 subject to the jurisdiction of the [state AG] for repayment of the full amounts of the grant 9 expended. 10 (c) The [Department of Health/Education (alternative: Office of [Grant Review] 11 in the Department of Health/Education] shall review and evaluate the reports of grantees 12 required under this section and shall report [to the state house/assembly, senate, and 13 Governor] on or before [date] of each year on the status of the Fund and the activities of 14 the Fund for the fiscal year most recently ended. The report shall include the beginning 15 and ending balance of the Fund for each fiscal year, payments or gifts received by the 16 fund, income earned and expenditures made, the name of the recipient and amount of 17 each grant made, the criteria used to award each grant, and whether the program 18 implemented by each grantee met the criteria. The report shall be publicly available 19 immediately upon its filing. 20

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