

State of South Carolina  
Lobbyist's Principal Disclosure Statement

State Ethics Commission  
Post Office Box 11926  
Columbia, South Carolina 29211

REPORTING PERIOD:

XX JAN 1 - MAR 31  
☐ APR 1 - SEP 30  
☐ OCT 1 - DEC 31

(Pursuant to S.C. Code Section 2-17-35)

CALENDAR YEAR: 1997

1. Lobbyist's Principal Name: R. J. Reynolds Tobacco Company
2. Business Street Address: 401 N. Main Street, Winston-Salem, NC 27102  
City State Zip
3. Business Mailing Address: P. O. Box 2959, Winston-Salem, NC 27102  
City State Zip
4. Business Phone: (910) 741-2738  
City State Zip
5. Name of Lobbyist(s) Employed by Lobbyist's Principal: Fred E. Allen  
Legislative or regulatory actions related to taxing or regulation of tobacco and tobacco-related products.
6. Name, Number or Description of Legislation, Agency Action or Gubernatorial Action for Which Lobbyist Engaged in Lobbying During This Reporting Period:
7. Office(s) or Public Body(s) for which you have authorized the lobbyist(s) named above to lobby:

SUMMARY OF LOBBYING EXPENDITURES

- I. TOTAL PAID TO LOBBYISTS (from Schedule A): \$ 8,250.00
- II. EXPENDITURES (from Schedules B-G):
- A. Supplies (from Schedule B): \$ 0
- B. Rent (from Schedule B): \$ 0
- C. Utilities (from Schedule B): \$ 0
- D. Compensation of Support Personnel (from Schedule B): \$ 0
- E. Other Expenditures (from Schedule B): \$ 0
- F. Expenditures Made on Behalf of Public Official (from Schedule C): \$ 0
- G. Expenditures Made on Behalf of Judiciary (from Schedule D): \$ 0
- H. Speaking Engagements (from Schedule E): \$ 0
- I. Contributions (from Schedule F): \$ 3,250.00
- TOTAL OTHER EXPENDITURES: (II) \$ 3,250.00

III. TOTAL: (I+II) \$ 11,500.00

CERTIFICATION: I CERTIFY THAT THE CONTENTS OF THIS STATEMENT ARE TRUE, CORRECT, AND COMPLETE TO THE BEST OF MY KNOWLEDGE AND BELIEF.

Joseph S. Murray III  
Signature of Lobbyist Principal

Joseph S. Murray, III  
Printed Name

4/9/97  
Date

Sworn to be a true statement before me this 9th day of April 19 97

Dona G. Korce  
Notary Public for the State of: South Carolina  
My Commission Expires: March 11, 2001  
UNITED TRUST FARM INSURANCE, INC.

My Commission expires:

FOR OFFICE USE ONLY: ☐ COMPLETE ☐ INCOMPLETE ☐ ENTERED ☐ SCANNED

SEC LSA.2(7-95)

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## LOBBYIST'S PRINCIPAL DISCLOSURE STATEMENT

### INSTRUCTIONS

The Lobbyist' Principal Disclosure Statement is to be filed by each registered lobbyist's principal prior to April 10 and October 10. Each report should encompass income paid and expenditures made through March 30 and September 30 respectively. Any income paid to a lobbyist or expenditure for lobbying not disclosed on the October 10 report shall be disclosed no later than December 31 of that year.

The Lobbyist's Principal Disclosure Statement is to be filed with:

State Ethics Commission  
PO Box 11926  
Columbia, SC 29211  
(803) 253-4192

Facsimile copies can be sent to:  
(803) 253-7539 to meet filing deadlines and  
the original must be received within 10 days.

**SCHEDULE A - TOTAL PAID TO LOBBYISTS:** Indicate the dates and amounts of income paid or promised to each lobbyist registered on your behalf for the reporting period. The totals should be disclosed on page 1.

**SCHEDULE B - ITEMIZED EXPENDITURES:** Indicate by category, the various expenditures made during the period. Categorize by supplies, rent, utilities, compensation of support personnel, and miscellaneous. The totals for each category should be reported for the period. The totals for each category should be reported on page 1.

**SCHEDULE C - EXPENDITURES MADE ON BEHALF OF PUBLIC OFFICIALS:** Schedule C should detail the date and name of public officials on whose behalf expenditures were made, the event at which such expenditures were made, and the amount.

**SCHEDULE D - EXPENDITURES MADE ON BEHALF OF JUDICIARY:** Schedule D should detail the date and name of the member(s) of the judiciary on whose behalf expenditures were made. The event at which such expenditures were made and the amount should also be disclosed.

**SCHEDULE E - SPEAKING ENGAGEMENTS:** Schedule E should detail the date and name of public officials or public employees on whose behalf expenditures were made for a speaking engagement. The event at which such expenditures were made and the amount should also be disclosed.

**SCHEDULE F - CONTRIBUTIONS TO PUBLIC OFFICIALS:** Schedule F should detail any campaign contributions made to a public official or candidate for public office to include the date, the candidate's full name and address, and the amount of such contribution(s).

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**TOTAL PAID OR PROMISED TO LOBBYISTS****SCHEDULE B - ITEMIZED EXPENDITURES**

**TOTAL AMOUNT**

**SCHEDULE C - EXPENDITURES MADE ON BEHALF OF PUBLIC OFFICIAL****TOTAL AMOUNT**

**SCHEDULE G - AMOUNTS PAID TO ANY VOLUNTARY MEMBERSHIP ORGANIZATION:** Schedule G should be completed only by a membership organization. Information must be provided concerning any member if that member contributed more than \$500 and more than 20% of the total contributions received by that organization during the calendar year. The dates of contribution(s), and the name, address, and amount are to be disclosed.

**SCHEDULE H - DIRECT BUSINESS RELATIONSHIP:** Schedule H provides for disclosure of any business association by a public official or public employee with the lobbyist principal. The full name of the public official or public employee and the nature of that business association are to be disclosed. A direct business association does not include ownership in a corporation or partnership unless the interest of each exceeds 5% nor does it include commercial transactions in which the fair market value of the goods or services is paid.

The form is to be dated, signed, and notarized and presented at the office of the State Ethics Commission no later than April 10 and October 10. Any income paid to a lobbyist or expenditure for lobbying not disclosed on the October 10 report shall be disclosed no later than December 31 of that year. Late filings may be subject to late filing penalties.

A person is considered a lobbyist's principal only as it relates to the public office or public body to which he has authorized a lobbyist to engage in lobbying.

#### PROHIBITIONS

A lobbyist's principal may provide lodging, transportation, entertainment, food, meals, beverages, or an invitation to a function, if invitees include:

- (1) all members of the General Assembly or either chamber;
- (2) all members of a committee, subcommittee, joint committee, legislative delegation, or county legislative delegation of which the legislator is a member;
- (3) public officials if all members of the agency board or commission are invited;
- (4) public employees if a public official of that employee's agency is invited;
- (5) public employees of a Constitutional officer if all Constitutional officers are invited;
- (6) a Constitutional officer;
- (7) public officials or public employees in matters reasonably and directly related to state and local economic development efforts, if prior written approval is obtained from:
  - (a) the Governor, in the case of public employees or officials of state agencies, except those noted below;
  - (b) statewide constitutional officer, for himself and his employees;
  - (c) President Pro Tempore of the Senate, in the case of any members of the Senate and Senate Employees;
  - (d) Speaker of the House, in the case of members of the House and House employees.

Any lodging, transportation, entertainment, food, meals, or beverages received by the above public officeholder from a lobbyist's principal may not exceed twenty-five dollars in a day and two hundred dollars in a calendar year.

**SCHEDULE D - EXPENDITURES MADE ON BEHALF OF MEMBER OF JUDICIARY:**

DATE	FULL NAME OF RECIPIENT	EVENT	AMOUNT
_____	_____	_____	_____
_____	_____	_____	_____
TOTAL AMOUNT			0

**SCHEDULE E - SPEAKING ENGAGEMENTS:**

DATE	FULL NAME OF RECIPIENT	ADDRESS	AMOUNT
_____	_____	_____	_____
_____	_____	_____	_____
TOTAL AMOUNT			0

**SCHEDULE F - CONTRIBUTIONS**

DATE	NAME OF PUBLIC OFFICIAL/CANDIDATE	ADDRESS	AMOUNT
_____	See attached list.	_____	_____
_____	_____	_____	_____
TOTAL AMOUNT			\$3,250.00

**SCHEDULE G - VOLUNTARY MEMBERSHIP ORGANIZATION:**

		N/A	
DATE	NAME OF MEMBER	ADDRESS	AMOUNT
_____	_____	_____	_____
_____	_____	_____	_____

**SCHEDULE H - DIRECT BUSINESS RELATIONSHIP**

		N/A	
NAME OF PUBLIC OFFICIAL	TYPE OF BUSINESS ASSOCIATION		
_____	_____		
_____	_____		

The value of lodging, transportation, entertainment, food, meals, or beverages received must be disclosed on the officeholder's Statement of Economic Interests. The lobbyist's principal shall disclose all expenses associated with providing the lodging, transportation, entertainment, food, meals, or beverages.

Disclosures required which would compromise the confidentiality of state or local economic development projects shall be reported as confidential. Prior written approval shall be obtained from the appropriate approving authority. Copies of the approval and the confidential disclosure shall be filed with the State Ethics Commission and the lobbyist's principal involved. This information shall be confidential and not available for public disclosure until such time as the approving authority determines that such disclosure is appropriate.

The restrictions provided by this provision are not applicable if the public officeholder accepts the invitation from the lobbyist's principal and pays the fair market or face value for his lodging, transportation, entertainment, food, meals, or beverages.

Lobbyist's principals are prohibited from employing, appointing, or retaining a lobbyist for compensation contingent in any manner upon the passage or defeat or any pending or proposed legislation, covered agency actions, or covered gubernatorial actions.

A lobbyist's principal or anyone acting on behalf of a lobbyist's principal may not employ or retain a public official or public employee, a member of their immediate family, or a firm or organization in which the public officeholder has an economic interest. A retainer is a payment for availability to perform services, rather than for actual services rendered.

A lobbyist's principal or anyone acting on behalf of a lobbyist's principal may not pay an honorarium to a public official or public employee. This does not prohibit the reimbursement of or expenditure for actual expenses for a public official or public employee for speaking engagements.

A lobbyist's principal may not offer, facilitate, or provide a loan to or on behalf of a statewide constitutional officer or member of the General Assembly unless the lobbyist's principal is a financial institution authorized to transact business in this State and makes the loan in the ordinary course or business.

Dates : 01/01/97 - 03/31/97

RJRT STATE GOVERNMENT RELATIONS  
STATE POLITICAL CONTRIBUTIONS  
April 3, 1997

State : SC

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SOUTH CAROLINA ( State Limit by Year )

ANTHONY HARRIS (D)

03/17/97	House Cand.	1996	Spec Pri	250.00
				<u>\$250.00</u>

SOUTH CAROLINA GENERAL ASSEMBLY WOMEN'S CAUCUS (B)

03/27/97		1998	Primary	500.00
				<u>\$500.00</u>

SOUTH CAROLINA HOUSE DEMOCRATIC CAUCUS (D)

02/20/97		1998	Primary	1,500.00
				<u>\$1,500.00</u>

SOUTH CAROLINA REPUBLICAN PARTY (R)

02/10/97		1998	Primary	1,000.00
				<u>\$1,000.00</u>

SOUTH CAROLINA TOTAL	\$3,250.00
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